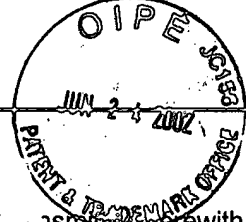


21218

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b> Applicant(s): <b>Dongping Fang et al.</b>		<b>Docket No.</b> <b>40010-10028</b>	
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<b>Serial No.</b> <b>09/710,401</b>	<b>Filing Date</b> <b>November 9, 2000</b>	<b>Examiner</b> <b>Not yet assigned</b>	<b>Group Art Unit</b> <b>2121</b>
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Invention: **SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL**



**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

**RECEIVED**

**JUL 03 2002**

**Technology Center 2100**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	36 -	31 =	5 x	\$18.00	\$90.00
INDEP. CLAIMS	9 -	7 =	2 x	\$84.00	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$258.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$258.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0503**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: **June 18, 2002**

**James D. Ryndak**  
**Reg. No. 28,754**  
**RYNDAK & SURI**  
**30 North LaSalle Street, Suite 2630**  
**Chicago, IL 60602**  
**(312) 214-7770**

I certify that this document and fee is being deposited  
June 18, 2002 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

**JAMES D. RYNDAK**  
Typed or Printed Name of Person Mailing Correspondence

cc:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Dongping Fang et al.**

Docket No.

**40010-10028**

Serial No.

**09/710,401**

Filing Date

**November 7, 2000**

Examiner

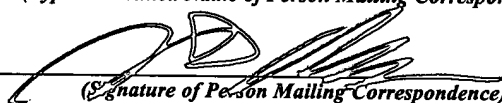
**Not yet assigned**

Group Art Unit

**2121**Invention: **SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL**I hereby certify that this **PRELIMINARY AMENDMENT (and all accompanying papers)***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**June 18, 2002***(Date)***JAMES D. RYNOAK***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

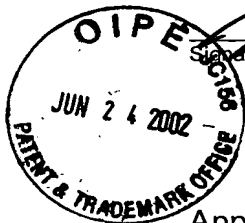
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 18, 2002.

James D. Ryndak

Typed or printed name of person signing this certificate

Signature

Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dongping Fang et al.  
Appl. No. : 09/710,401  
Filed : November 9, 2000  
Title : SYSTEM AND METHOD FOR BUILDING  
A TIMES SERIES MODEL  
Grp./A.U. : 2121  
Examiner : Not yet assigned  
Docket No. : 40010-10028

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JUL 03 2002

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to calculating the filing fee for the above-referenced patent application, please amend the application as follows.

In the Claims:

Please add the following new claims.

32. A method for creating a univariate ARIMA model of a time series utilizing a computer wherein separate data values, seasonal cycle and seasonal length for the time series are inputted into said computer comprising:

imputing at least one missing data value when any data values are missing from the time series;

06/27/2002 SDENB01 00000009 09710401

01 FC:102  
02 FC:103

168.00 OP  
90.00 OP